

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number

To Be Assigned

10/500529

Filing Date

Herewith

First Named Inventor

N. Sashinaka et al.

Art Unit

Examiner Name

Attorney Docket No.

MAT-8573US

SHEET 1 of 2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
MJS		US-6,103,398	8/15/2000	Norton et al.	
MJS		US-6,205,274	3/20/2001	Zhou	
MJS		US-2002/0037141	3/28/2002	Miyamoto et al.	
MJS		US-6,445,475	9/3/2002	Okubora et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
MJS		EP 1 202 405	5/2/2002	Datalogic S.P.A.		<input type="checkbox"/>
MJS		WO 99/44087	9/2/1999	The Whitaker Corporation		<input type="checkbox"/>
MJS		WO 2003/019617 (English abstract provided)	3/6/2003	Bieck Florian; Schott Glas (DE); Leib Juergen (DE); Zeiss Stiftung		<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner
Signature

M. Stahl

Date

Considered

3/14/2000

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for Form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete If Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	N. Sashinaka et al.
Art Unit	
Examiner Name	
Attorney Docket No.	MAT-8573US

SHEET 2 of 2

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
MJS		M. Karppinen et al., "Passively Aligned Fiber-Optic Transmitter Integrated into LTCC Module", 2001 IEEE Proceedings 51 st , Electronic Components and Technology Conference, vol. CONF. 51, pages 20-21, May 2001.	<input type="checkbox"/>
MJS		J. Hiltunen et al., "Passive Multimode Fiber-to-Edge-Emitting Laser Alignment Based on a Multilayer LTCC Substrate, 52 nd Electronic Components and Technology Conference 2002, pages 815-820, May 2002.	<input type="checkbox"/>
MJS		International Search Report corresponding to application no. PCT/JP03/14351 dated April 23, 2004.	<input type="checkbox"/>
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Examiner Signature	M. Stahl	Date Considered	3/14/2006
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¹Applicant's unique citation designation number (optional).

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